

# Framework of Rogers-Ramanujan identities: Lecture 2

Ken Ono (Emory University)

# The *Golden Ratio*

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$$\phi := \frac{1 + \sqrt{5}}{2} \sim 1.618033989 \dots$$

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$$x^2 - x - 1 = 0.$$

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- It is an **algebraic integral unit**. It is a root of

$$x^2 - x - 1 = 0.$$

- We have that

$$\phi = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \dots}}}$$

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## Question

Define the  $q$ -continued fraction

$$R(q) := \frac{1}{1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{1 + \dots}}}}.$$

# A deeper generalization?

## Question

Define the  $q$ -continued fraction

$$R(q) := \frac{1}{1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{1 + \dots}}}}$$

Is the evaluation  $R(1) = 1/\phi$  a special case of a theory of units?

# Ramanujan's first letter to Hardy



## Ramanujan's first letter to Hardy

$$(5) \quad \frac{1}{1+} \frac{e^{-2\pi}}{1+} \frac{e^{-4\pi}}{1+} \frac{e^{-6\pi}}{1+} \&c = \left( \sqrt{\frac{5+\sqrt{5}}{2}} - \frac{\sqrt{5+1}}{2} \right) \sqrt[5]{e^{2\pi}}$$

$$(6) \quad \frac{1}{1-} \frac{e^{-\pi}}{1+} \frac{e^{-2\pi}}{1-} \frac{e^{-3\pi}}{1+} \&c = \left( \sqrt{\frac{5-\sqrt{5}}{2}} - \frac{\sqrt{5-1}}{2} \right) \sqrt[5]{e^{\pi}}$$

$$(7) \quad \frac{1}{1+} \frac{e^{-\pi\sqrt{n}}}{1+} \frac{e^{-2\pi\sqrt{n}}}{1+} \frac{e^{-3\pi\sqrt{n}}}{1+} \&c \text{ can be exactly}$$

found if  $n$  be any positive rational quantity.

[p. 11, misbound, should follow here]

# Rogers and Ramanujan

## Theorem (Rogers-Ramanujan)

*We have that*

$$R(q) = \frac{1}{1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{1 + \dots}}}} = \prod_{n=0}^{\infty} \frac{(1 - q^{5n+1})(1 - q^{5n+4})}{(1 - q^{5n+2})(1 - q^{5n+3})}.$$

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## Theorem (Berndt-Chan-Zhang (1996), Cais-Conrad (2006))

If  $\tau$  is a CM point, then

$$e^{2\pi i\tau/5} \cdot R(e^{2\pi i\tau})$$

is an algebraic integral unit.

# Rogers-Ramanujan Identities

## Theorem (Rogers, Ramanujan)

We have that

$$G(q) := \sum_{n=0}^{\infty} \frac{q^{n^2}}{(1-q) \cdots (1-q^n)} = \prod_{n=0}^{\infty} \frac{1}{(1-q^{5n+1})(1-q^{5n+4})},$$

$$H(q) := \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(1-q) \cdots (1-q^n)} = \prod_{n=0}^{\infty} \frac{1}{(1-q^{5n+2})(1-q^{5n+3})}.$$

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## Remark

We have the **ratio identity**

$$R(q) = H(q)/G(q).$$

# Ubiquity of the RR identities

- Number theory (modular forms and modular curves)
- Conformal field theory
- $K$ -theory
- Kac-Moody Lie algebras
- Knot theory
- Probability theory
- Statistical mechanics
- ...

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Remark (March 10, 2015)

*There are 810 papers in **MathSciNet** about the RR identities!*

# Extending RR: Andrews-Gordon Identities



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Theorem (Andrews, 1974)

If  $1 \leq i \leq m + 1$ , then

$$\sum_{r_1 \geq \dots \geq r_m \geq 0} \frac{q^{r_1^2 + \dots + r_m^2 + r_i + \dots + r_m}}{(q)_{r_1 - r_2} \cdots (q)_{r_{m-1} - r_m} (q)_{r_m}} = \frac{(q^{2m+3}; q^{2m+3})_\infty}{(q)_\infty} \cdot \theta(q^i; q^{2m+3}),$$

where

$$(a; q)_k := (1 - a)(1 - aq) \cdots (1 - aq^{k-1}),$$

and

$$\theta(a; q) := (a; q)_\infty (q/a; q)_\infty.$$

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...giving rise to **vertex operator theory** and more...

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- 2 Further isolated identities of Bailey, Dyson, Slater,....
- 3 RR and AG identities  $\implies$  Lepowsky-Wilson program.  
...giving rise to **vertex operator theory** and more...
- 4 Other Lie theoretic work: Feigin-Frenkel, Milne,  
Cherednik-Feigin, ...

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## Fundamental Problem 2

*If so, are there **natural ratios** which give **algebraic integral units**?*

# Integer Partitions

## Definition

A **partition** is a nonincreasing sequence of positive integers

$$\lambda := (\lambda_1, \lambda_2, \dots)$$

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- $l(\lambda) :=$  “number of parts”.
- For positive  $i$  we let  $m_i :=$  “multiplicity” of size  $i$  parts.
- For  $n \geq l(\lambda)$  we let  $m_0 := n - l(\lambda)$ .

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$$v_\lambda(q) := \prod_{i=0}^n \frac{(q)_{m_i}}{(1-q)^{m_i}}.$$

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The **Hall-Littlewood polynomial** is

$$P_\lambda(x; q) = \frac{1}{v_\lambda(q)} \sum_{w \in S_n} w \left( x^\lambda \prod_{i < j} \frac{x_i - qx_j}{x_i - x_j} \right).$$

## Example 1

For  $n \geq 1$  we have

$$P_{(2)}(x_1, x_2, \dots, x_n; q) = \frac{(1-q)^{n-1}}{(q)_{n-1}} \cdot \sum_{w \in S_n} w \left( x_1^2 \prod_{i < j} \frac{x_i - qx_j}{x_i - x_j} \right).$$

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We find that

$$P_{(2)}(x_1; q) = x_1^2$$

$$P_{(2)}(x_1, x_2; q) = x_1^2 + x_2^2 + (1-q)x_1x_2$$

$$P_{(2)}(x_1, x_2, x_3; q) = x_1^2 + x_2^2 + x_3^2 + (1-q)(x_1x_2 + x_1x_3 + x_2x_3)$$

$$\vdots = \vdots$$

### Example 1 (Continued)

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Letting  $x_1 = 1, x_2 = q, x_3 = q^2, \dots$ , we obtain

$$P_{(2)}(1; q) = 1$$

$$P_{(2)}(1, q; q) = 1 + q$$

$$P_{(2)}(1, q, q^2; q) = 1 + q + q^2$$

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$$\vdots \quad \quad \quad \vdots$$

More generally, for every  $n \geq 1$  we have

$$P_{(2)}(1, q, q^2, \dots, q^n; q) = 1 + q + q^2 + \dots + q^n.$$

### Example 1 (Continued)

- For each  $n \geq 1$  we have

$$\begin{aligned} P_{(2)}(x_1, \dots, x_n; q) \\ = \frac{1+q}{2} (x_1^2 + \dots + x_n^2) + \frac{1-q}{2} (x_1 + \dots + x_n)^2. \end{aligned}$$



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- Make the identifications

$$\begin{aligned} (x_1, x_2, \dots) &\longleftrightarrow (1, q, q^2, \dots) \\ x_1^r + x_2^r + \dots + x_n^r &\longleftrightarrow \frac{1}{1-q^r} \end{aligned}$$

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- This gives us

$$P_{(2)}(1, q, q^2, \dots; q) = \frac{(1+q)}{2(1-q^2)} + \frac{1-q}{2(1-q)^2} = \frac{1}{1-q}.$$

## Example 2

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For  $n \geq 2$  we have

$$\begin{aligned}
 P_{(2,2)}(x_1, x_2, \dots, x_n; q) \\
 &= \frac{(1-q)^{n-1}}{(q)_{n-2} \cdot (1-q^2)} \cdot \sum_{w \in S_n} w \left( x_1^2 x_2^2 \prod_{i < j} \frac{x_i - qx_j}{x_i - x_j} \right).
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$$\begin{aligned} P_{(2,2)}(x_1, x_2; q) &= x_1^2 x_2^2 \\ P_{(2,2)}(x_1, x_2, x_3; q) &= x_1^2 x_2^2 + x_2^2 x_3^2 + x_1^2 x_3^2 + \dots \\ &\vdots &= &\vdots \end{aligned}$$

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$$P_{(2,2)}(1, q, q^2, q^3; q) = q^2 + q^3 + 2q^4 + q^5 + q^6$$

$$\vdots \quad \quad \quad \vdots$$

## Example 2 (Continued)

We find that

$$\begin{aligned}
 P_{(2,2)}(x_1, \dots, x_n; q) &= -\frac{q^3 - q}{4}(x_1 + \dots + x_n)^2(x_1^2 + \dots + x_n^2) \\
 &+ \frac{q^3 - 3q + 2}{24}(x_1 + \dots + x_n)^4 + \frac{q^3 + q + 2}{8}(x_1^2 + \dots + x_n^2)^2 \\
 &+ \frac{q^3 - 1}{3}(x_1 + \dots + x_n)(x_1^3 + \dots + x_n^3) - \frac{q^3 + q}{4}(x_1^4 + \dots + x_n^4).
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Arguing as before gives:

$$P_{(2,2)}(1, q, q^2, \dots; q) = \frac{q^2}{(1 - q)(1 - q^2)}.$$

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- 2 Obtain  $P_\lambda(1, q, q^2, \dots; q^T)$  by replacing

$$x_1^r + \dots + x_n^r \quad \mapsto \quad 1 + q^r + q^{2r} + \dots = \frac{1}{1 - q^r}.$$

# RR “sum sides” revisited

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For the partitions  $\lambda = (2^n)$ , this procedure gives:

$$q^{(\sigma+1)|(1^n)|} P_{(2^n)}(1, q, q^2, \dots; q) = \frac{q^{n(n+\sigma)}}{(1-q) \cdots (1-q^n)},$$

and so...



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and so...

$$\sum_{n=0}^{\infty} q^{(\sigma+1)|(1^n)|} P_{(2^n)}(1, q, q^2, \dots; q) = \sum_{n=0}^{\infty} \frac{q^{n(n+\sigma)}}{(1-q) \cdots (1-q^n)}.$$

# Fundamental Problem 1

“Theorem” (Griffin-O-Warnaar)

There are four triples  $(a, b, c)$  such that **for all**  $m, n \geq 1$  we have

$$\sum_{\substack{\lambda \\ \lambda_1 \leq m}} q^{a|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^{bn+c})$$

= “**Infinite product modular function**”.

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Remark

RR identities when  $m = n = 1$  and  $(a, b, c) = (1, 2, -1), (2, 2, -1)$ .

# $q$ -series representations

If  $m$  and  $n$  are positive integers, then

$$\sum_{\substack{\lambda \\ \lambda_1 \leq m}} q^{(\sigma+1)|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^n)$$

$$= \sum \prod_{i=1}^{2m} \left\{ \frac{q^{\frac{1}{2}(\sigma+1)\mu_i^{(0)}}}{(q^n; q^n)_{\mu_i^{(0)} - \mu_{i+1}^{(0)}}} \prod_{a=1}^n q^{\mu_i^{(a)} + n \binom{\mu_i^{(a-1)} - \mu_i^{(a)}}{2}} \left[ \begin{matrix} \mu_i^{(a-1)} - \mu_{i+1}^{(a)} \\ \mu_i^{(a-1)} - \mu_i^{(a)} \end{matrix} \right]_{q^n} \right\}$$

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where the summation **is not worth explaining**.

# Representation theoretic interpretation

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- Given an affine Kac-Moody algebra, one has the “principal specialization homomorphism”

$$F_{\mathbb{1}} : \mathbb{C}[[e^{-\alpha_0}, \dots, e^{-\alpha_n}]] \rightarrow \mathbb{C}[[q]], \quad F_{\mathbb{1}}(e^{-\alpha_i}) = q \quad \forall i \in I.$$



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- Weyl-Kac formula for highest weight modules  $\Lambda$ :

$$F_{\mathbb{1}}(e^{-\Lambda} \text{ch } V(\Lambda)) = \prod_{\alpha \in \Delta_+^{\vee}} \left( \frac{1 - q^{\langle \Lambda + \rho, \alpha \rangle}}{1 - q^{\langle \rho, \alpha \rangle}} \right)^{\text{mult}(\alpha)}.$$

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- The “product sides” arise from such formulas.

## Fundamental Problem 2

“Theorem” (Griffin-O-Warnaar)

*Generalizing the “Folklore Conjecture”, in the  $A_{2n}^{(2)}$  cases we obtain ratios of CM values that are **algebraic integral units**.*

### Theorem 1 ( $A_{2n}^{(2)}$ identities)

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- 1 The RR identities are the  $m = n = 1$  cases.
- 2 If  $n = 1$ , then we obtain the AG  $i = 1, m + 1$  identities.

# Easy to use Theorem 1



# Easy to use Theorem 1

## Example

If  $m = n = 2$ , then we obtain **Dyson's favorite**

$$\sum_{\substack{\lambda \\ \lambda_1 \leq 2}} q^{|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^3) = \prod_{n=1}^{\infty} \frac{(1 - q^{9n})}{(1 - q^n)},$$

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and

$$\begin{aligned} \sum_{\substack{\lambda \\ \lambda_1 \leq 2}} q^{2|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^3) \\ = \prod_{n=1}^{\infty} \frac{(1 - q^{9n})(1 - q^{9n-1})(1 - q^{9n-8})}{(1 - q^n)(1 - q^{9n-4})(1 - q^{9n-5})}. \end{aligned}$$

Theorem 2 ( $C_n^{(1)}$  identities)

If  $m, n \geq 1$  and  $\kappa := 2m + 2n + 2$ , then

$$\begin{aligned} \sum_{\substack{\lambda \\ \lambda_1 \leq m}} q^{|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^{2n}) \\ &= \frac{(q^2; q^2)_\infty (q^{\kappa/2}; q^{\kappa/2})_\infty (q^\kappa; q^\kappa)_\infty^{n-1}}{(q)_\infty^{n+1}} \\ &\quad \times \prod_{i=1}^n \theta(q^i; q^{\kappa/2}) \prod_{1 \leq i < j \leq n} \theta(q^{j-i}, q^{i+j}; q^\kappa) \end{aligned}$$

### Theorem 3 ( $D_{n+1}^{(2)}$ identities)

If  $m \geq 1$ ,  $n \geq 2$ , and  $\kappa := 2m + 2n$ , then

$$\sum_{\substack{\lambda \\ \lambda_1 \leq m}} q^{2|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^{2n-2})$$

$$= \frac{(q^\kappa; q^\kappa)_\infty^n}{(q^2; q^2)_\infty (q)_\infty^{n-1}} \cdot \prod_{1 \leq i < j \leq n} \theta(q^{j-i}, q^{i+j-1}; q^\kappa).$$

# Turning to Algebraicity

## Turning to Algebraicity

We require the following **renormalizations** (note:  $q := e^{2\pi i\tau}$ ):

$$\Phi_{1a}(m, n; \tau) := q^{\frac{4m^2n^2 - 4m^2n + 2mn^2 - 3mn}{12\kappa}} \sum_{\lambda_1 \leq m} q^{|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^{2n-1})$$

$$\Phi_{1b}(m, n; \tau) := q^{\frac{4m^2n^2 + 2m^2n + 2n^2m + 3mn}{12\kappa}} \sum_{\lambda_1 \leq m} q^{2|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^{2n-1})$$

$$\Phi_2(m, n; \tau) := q^{\frac{4m^2n^2 + 2mn^2 - mn - m^2 - m}{12\kappa}} \sum_{\lambda_1 \leq m} q^{|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^{2n})$$

$$\Phi_3(m, n; \tau) := q^{\frac{4m^2n^2 - 2m^2n + 2mn^2 + mn - m}{12\kappa}} \sum_{\lambda_1 \leq m} q^{2|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^{2n-2}).$$

# CM values

# CM values

## Theorem 4

*If  $\kappa\tau$  is a CM point with discriminant  $-D < 0$ , then the CM value  $\Phi_*(m, n; \tau)$  is algebraic.*



# Algebraic integral units

Theorem (Berndt-Chan-Zhang, Cais-Conrad)

*If  $\tau$  is a CM point, then  $q^{1/5}R(q) = \Phi_{1a}(\mathbf{1}, \mathbf{1}; \tau) / \Phi_{1b}(\mathbf{1}, \mathbf{1}; \tau)$  is an algebraic integral unit.*

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## Question

*Do other ratios of  $\Phi_*$  have CM values with unit ratios?*

# Integrality properties

## Theorem 5

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- 1 Theorem 5 (3) is the  $q^{1/5}R(q)$  result when  $m = n = 1$ .

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## Remarks

- ① Theorem 5 (3) is the  $q^{1/5}R(q)$  result when  $m = n = 1$ .
- ② **No other** ratios generically give units.

# Example when $m = n = 2$



## Example when $m = n = 2$

- For  $\tau = i/3$  the first 100 terms give:

$$\Phi_{1a}(2, 2; i/3) = 0.577350\dots \stackrel{?}{=} \frac{1}{\sqrt{3}}$$

$$\Phi_{1b}(2, 2; i/3) = 0.217095\dots$$

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- They **are not** algebraic integers, but are roots of:

$$3x^2 - 1$$

$$3^9 x^{18} - 3^7 \cdot 37 x^{12} - 2 \cdot 3^9 x^9 + 2^3 \cdot 3^4 \cdot 17 x^6 - 2 \cdot 3^5 x^3 - 1.$$

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- By Theorem 5 (2), **both**  $\sqrt{3}\Phi_{1*}(2, 2; i/3)$  are integral units.

## Example when $m = n = 2$ continued.

- Which gives Theorem 5 (3) that

$$\Phi_{1a}(2, 2; i/3) / \Phi_{1b}(2, 2; i/3) = 4.60627 \dots$$

is an algebraic integral unit.

## Example when $m = n = 2$ continued.

- Which gives Theorem 5 (3) that

$$\Phi_{1a}(2, 2; i/3)/\Phi_{1b}(2, 2; i/3) = 4.60627\dots$$

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- Indeed,  $\Phi_{1a}(2, 2; i/3)/\Phi_{1b}(2, 2; i/3)$  is a root of

$$x^{18} - 102x^{15} + 420x^{12} - 304x^9 - 93x^6 + 6x^3 + 1.$$

# Classical proof of RR

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Theorem (G. N. Watson (1929))

$$\begin{aligned} & \frac{(aq, aq/bc)_N}{(aq/b, aq/c)_N} \sum_{r=0}^N \frac{(b, c, aq/de, q^{-N})_r}{(q, aq/d, aq/e, bcq^{-N}/a)_r} q^r \\ &= \sum_{r=0}^N \frac{1 - aq^{2r}}{1 - a} \cdot \frac{(a, b, c, d, e, q^{-N})_r}{(q, aq/b, aq/c, aq/d, aq/e)_r} \cdot \left( \frac{a^2 q^{N+2}}{bcde} \right)^r. \end{aligned}$$



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$$\sum_{r=0}^{\infty} \frac{a^r q^{r^2}}{(q)_r} = \frac{1}{(aq)_{\infty}} \sum_{r=0}^{\infty} \frac{1 - aq^{2r}}{1 - a} \cdot \frac{(a)_r}{(q)_r} \cdot (-1)^r a^{2r} q^{5\binom{r}{2} + 2r}.$$

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- Letting  $a = 1, q$  on the LHS gives RR.
- What is the RHS when  $a = 1, q$ ?

# Proof of the RR identities continued

## Lemma (Jacobi Triple Product)

$$\sum_{r=-\infty}^{\infty} (-1)^r x^r q^{\binom{r}{2}} = (q)_{\infty} \cdot \theta(x; q),$$

# Proof of the RR identities continued

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$$\sum_{r=-\infty}^{\infty} (-1)^r x^r q^{\binom{r}{2}} = (q)_{\infty} \cdot \theta(x; q),$$

- Rogers-Selberg + JTP  $\implies$  RR.  $\square$

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- 1 Extended Watson's  $8\phi_7$  to  ${}_{2m+6}\phi_{2m+5}$  which depend on  $N$ , a parameter  $a$ , and  $2m + 2$  further parameters.

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- 4 Resulting in a higher "Rogers-Selberg" identity.
- 5 If  $a = 1, q$ , then JTP essentially gives AG.  $\square$

# Proving Theorem 1-3

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“Theorem” (Bartlett-Warnaar (2013))

*There is an Andrews-style “crazier” transformation, arising from the  $C_n$  root system, where*

$$a \longleftrightarrow (x_1, x_2, \dots, x_n).$$

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Remark

*Their transformation laws make use of*

$$\Delta_{\mathbb{C}}(x) := \prod_{i=1}^n (1 - x_i^2) \prod_{1 \leq i < j \leq n} (x_i - x_j)(x_i x_j - 1).$$



## Bartlett-Warnaar Transformation Law

**Theorem 4.2** ( $C_n$  Andrews transformation). *For  $m$  a nonnegative integer and  $N \in \mathbb{Z}_+^n$ ,*

$$\begin{aligned}
 (4.3) \quad & \sum_{0 \subseteq r \subseteq N} \frac{\Delta_C(xq^r)}{\Delta_C(x)} \prod_{i=1}^n \left[ \prod_{\ell=1}^{m+1} \frac{(b_\ell x_i, c_\ell x_i)_{r_i}}{(qx_i/b_\ell, qx_i/c_\ell)_{r_i}} \left( \frac{q}{b_\ell c_\ell} \right)^{r_i} \right. \\
 & \quad \left. \times \prod_{j=1}^n \frac{(q^{-N_j} x_i/x_j, x_i x_j)_{r_i}}{(qx_i/x_j, q^{N_j+1} x_i x_j)_{r_i}} q^{N_j r_i} \right] \\
 &= \prod_{i,j=1}^n (qx_i x_j)_{N_i} \prod_{1 \leq i < j \leq n} \frac{1}{(qx_i x_j)_{N_i + N_j}} \\
 & \quad \times \sum_{r^{(1)}, \dots, r^{(m)} \in \mathbb{Z}_+^n} \prod_{i,j=1}^n \frac{(qx_i/x_j)_{N_i}}{(qx_i/x_j)_{N_i - r_j^{(1)}}} \prod_{\ell=1}^m f_{r^{(\ell)}, r^{(\ell+1)}}^{(0)}(x; q) \\
 & \quad \times \prod_{\ell=1}^{m+1} \left[ (q/b_\ell c_\ell)_{|r^{(\ell-1)}| - |r^{(\ell)}|} \left( \frac{q}{b_\ell c_\ell} \right)^{|r^{(\ell)}|} \prod_{i=1}^n \frac{(b_\ell x_i, c_\ell x_i)_{r_i^{(\ell)}}}{(qx_i/b_\ell, qx_i/c_\ell)_{r_i^{(\ell-1)}}} \right],
 \end{aligned}$$

where  $r^{(0)} := N$  and  $r^{(m+1)} := 0$ .

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- Let parameters  $\rightarrow \infty$  and take a nonterminating limit.
- Analyze the RHS....using definition of Hall-Littlewood polynomials.

## Theorem (Higher Rogers-Selberg Identity)

$$\sum_{\substack{\lambda \\ \lambda_1 \leq m}} q^{|\lambda|} P'_{2\lambda}(x; q) = L_m^{(0)}(x; q),$$

where

$$L_m^{(0)}(x; q) := \sum_{r \in \mathbb{Z}_+^n} \frac{\Delta_{\mathbb{C}}(xq^r)}{\Delta_{\mathbb{C}}(x)} \\ \times \prod_{i=1}^n x_i^{2(m+1)r_i} q^{(m+1)r_i^2 + n \binom{r_i}{2}} \cdot \prod_{i,j=1}^n \left(-\frac{x_i}{x_j}\right)^{r_i} \frac{(x_i x_j)_{r_i}}{(qx_i/x_j)_{r_i}}.$$

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- It is easy to modify LHS for each theorem.
- Manipulating  $L_m^{(0)}(x; q)$  is difficult....requiring a complicated recursive limiting argument.
- Many pages of reformulations involving Macdonald identities for

$$D_{n+1}^{(2)}, \quad B_n^{(1)}, \quad D_n^{(1)},$$

Weyl-Kac denominator formulas, and of course JTP.  $\square$

# Turning to algebraic properties

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## Definition

If  $\mathbf{B}_2(x)$  is the 2nd Bernoulli polynomial,  $e(x) := e^{2\pi ix}$  and  $a := (a_1, a_2) \in \mathbb{Q}^2$ , then the **Siegel function**  $g_a$  is defined by

$$g_a(\tau) := -q^{\frac{1}{2}\mathbf{B}_2(a_1)} e(a_2(a_1 - 1)/2) \\ \times \prod_{n=1}^{\infty} (1 - q^{n-1+a_1} e(a_2))(1 - q^{n-a_1} e(-a_2)),$$

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## Theorem (Klein)

If  $a \in \mathbb{Z}^2/N$  and  $\gamma \in \mathrm{SL}_2(\mathbb{Z})$ , then

$$g_a^{12}(\gamma\tau) = g_{a\gamma}^{12}(\tau).$$

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If  $m, n \geq 1$ , then the following are true:

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(1b) ...and so on...

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- The  $\Phi_*$ 's are reciprocals of **Siegel products**.
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- Analytic number theory to obtain single Galois orbits.  $\square$

# Our results...

“Theorem” (Griffin-O-Warnaar)

There are four triples  $(a, b, c)$  such that **for all**  $m, n \geq 1$  we have

$$\sum_{\substack{\lambda \\ \lambda_1 \leq m}} q^{a|\lambda|} P_{2\lambda}(1, q, q^2, \dots; q^{bn+c})$$

= “Infinite product modular function”.

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### Remarks

- ① *RR identities when  $m = n = 1$  in Theorem 1.*
- ② *Arise as **specialized characters** of Kac-Moody Lie algebras.*

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### Remark

Letting  $m = n = 1$  gives Berndt-Chan-Zhang and Cais-Conrad.  
And  $\tau = i$  gives Ramanujan's evaluation:

$$e^{-2\pi/5} \cdot R(e^{-2\pi}) = \frac{\Phi_{1a}(1, 1; i)}{\Phi_{1b}(1, 1; i)} = \sqrt{\frac{5 + \sqrt{5}}{2}} - \frac{\sqrt{5} + 1}{2}.$$